# A population of luminous accreting black holes with hidden mergers

Michael J. Koss<sup>1,2</sup>\*, Laura Blecha<sup>3</sup>, Phillip Bernhard<sup>2</sup>, Chao–Ling Hung<sup>4</sup>, Jessica R. Lu<sup>5</sup>, Benny Trakthenbrot<sup>6</sup>, Ezequiel Treister<sup>7</sup>, Anna Weigel<sup>2</sup>, Lia F. Sartori<sup>2</sup>, Richard Mushotzky<sup>8</sup>, Kevin Schawinski<sup>2</sup>, Claudio Ricci<sup>9,10,11</sup>, Sylvain Veilleux<sup>8</sup> & David B. Sanders<sup>12</sup>

Major galaxy mergers are thought to play an important part in fuelling the growth of supermassive black-holes<sup>1</sup>. However, observational support for this hypothesis is mixed, with some studies showing a correlation between merging galaxies and luminous quasars<sup>2,3</sup> and others showing no such association<sup>4,5</sup>. Recent observations have shown that a black hole is likely to become heavily obscured behind merger-driven gas and dust, even in the early stages of the merger, when the galaxies are well separated<sup>6-8</sup> (5 to 40 kiloparsecs). Merger simulations further suggest that such obscuration and black-hole accretion peaks in the final merger stage, when the two galactic nuclei are closely separated<sup>9</sup> (less than 3 kiloparsecs). Resolving this final stage requires a combination of high-spatial-resolution infrared imaging and high-sensitivity hard-X-ray observations to detect highly obscured sources. However, large numbers of obscured luminous accreting supermassive black holes been recently detected nearby (distances below 250 megaparsecs) in X-ray observations<sup>10</sup>. Here we report high-resolution infrared observations of hard-X-ray-selected black holes and the discovery of obscured nuclear mergers, the parent populations of supermassiveblack-hole mergers. We find that obscured luminous black holes (bolometric luminosity higher than  $2 \times 10^{44}$  ergs per second) show a significant (P < 0.001) excess of late-stage nuclear mergers (17.6 per cent) compared to a sample of inactive galaxies with matching stellar masses and star formation rates (1.1 per cent), in agreement with theoretical predictions. Using hydrodynamic simulations, we confirm that the excess of nuclear mergers is indeed strongest for gas-rich major-merger hosts of obscured luminous black holes in this final stage.

Q2

Q5

Q6

Q4 Q3

The Burst Alert Telescope (BAT) on the Neil Gehrels Swift Observatory has surveyed the entire sky at unprecedented depths in the ultra-hard X-ray band (14-195 keV) and primarily detects accretion onto supermassive black holes at the centres of nearby galaxies. Detection in the ultra-hard X-ray band is possible even when obscuring gas and dust in the host galaxy considerably attenuate the ultraviolet, optical or softer X-ray emission around the growing black holes. At the distance to the nearest luminous accreting black hole (about 220 Mpc or at a redshift of  $z \approx 0.05$ ), ground-based optical imaging typically achieves a resolution of the order of 1'', or 1 kpc, in the host galaxy. This spatial resolution is not sufficient to resolve the final merger stage in the host galaxies down to the hundreds of parsecs. However, these can be resolved with near-infrared adaptive optics, which provide an improvement by a factor of 10 in spatial resolution (about 0.1''). These scales still are above the black-hole sphere of influence, which is of the order of 10–100 pc for black holes with masses of  $10^7 M_{\odot}$ – $10^9 M_{\odot}$  ( $M_{\odot}$ , solar mass).

We observed 96 nearby (z < 0.075) black holes detected by Swift/BAT in the hard X-ray band. The black holes were selected at random over a wide range of luminosities using the adaptive optics system on the

Keck 2 telescope at the W. M. Keck Observatory with a near-infrared camera (NIRC2). These near-infrared observations (2.1  $\mu$ m) include both obscured and unobscured accreting black holes and have an average spatial resolution of 0.13", about a factor of 10 better than previous ground-based surveys. We combined these adaptive-optics observations with available high-resolution archival Hubble Space Telescope (HST) near-infrared images of 64 Swift/BAT-detected active galactic nuclei (AGN) with an average spatial resolution of 0.17". These observations provide the first evidence of a sizeable population of double nuclei with very small separations (0.3–3 kpc) in late-stage mergers, which could not be detected in lower-resolution ground-based optical observations and were not detected in previous near-infrared samples of AGN observed with the HST (Fig. 1d–f).

We separated our sample into obscured and unobscured accreting black holes based on the presence of broad H $\beta$  lines in optical spectroscopy images from past studies<sup>11</sup> and into low- and high-luminosity (below and above a bolometric luminosity of  $L_{\rm bol} = 2 \times 10^{44} \, {\rm erg \, s^-}$ respectively) using their X-ray emission<sup>12</sup>. We also compared our sample with 176 inactive galaxies matched in stellar masses and star formation rates that have high-resolution HST near-infrared images. Example high-resolution images of the inactive-galaxy sample are provided in Extended Data Fig. 3. A comparison of the stellar masses (Extended Data Fig. 4a), star formation rates (Extended Data Fig. 4b), physical resolutions (Extended Data Fig. 4c) and the consistency of the control sample with random inactive galaxies taken from the Sloan Digital Sky Survey (Extended Data Fig. 6) show that (Fig. 2a) the obscured luminous black holes show a significantly (P < 0.001) higher fraction (17.6%, 6/34) of nuclear mergers (<3 kpc) than inactive galaxies (1.1%, 6/34)2/176), unobscured luminous black holes (1.8%,1/55) and lowerluminosity black holes (2.7%, 2/73). When comparing the fractions of nuclear mergers for obscured and unobscured luminous black holes, the difference is also significant ( $P \approx 0.01$ ). Finally, a higher proportion of nuclear mergers (separation R < 3 kpc) in obscured luminous AGN is also found when comparing them with lower-luminosity black holes  $(P \approx 0.01)$ . At larger separations (3–10 kpc) the fraction of mergers in obscured luminous black holes is higher than in the other samples, but this difference is not statistically significant (P > 0.29). All the mergers identified at *R* < 10 kpc are listed in Extended Data Table 1. Finally, we note that even observations in the near-infrared band may sometimes miss nuclear mergers with very heavy extinction<sup>13</sup>, so these measurements should be seen as a lower limit.

While past work has found some nuclear mergers, our study is the first, to our knowledge, to demonstrate a significant excess (P < 0.001) of nuclear mergers in obscured luminous black holes in comparison to a matched sample of inactive galaxies. Past studies have typically focused on subsets of AGN galaxies at larger separations (for example, 10–30 kpc). For instance, some nuclear mergers have been identified in sources with double-peaked [O III]  $\lambda$ 5,007 emission lines, which

<sup>1</sup>Eureka Scientific Inc, Oakland, CA, USA. <sup>2</sup>Institute for Particle Physics and Astrophysics, ETH Zürich, Zürich, Switzerland. <sup>3</sup>Department of Physics, University of Florida, Gainesville, FL, USA. <sup>4</sup>Department of Physics, Manhattan College, New York, NY, USA. <sup>5</sup>Department of Astronomy, University of California, Berkeley, CA, USA. <sup>6</sup>Department of Physics, ETH Zürich, Zürich, Switzerland. <sup>7</sup>Instituto de Astrofísica, Facultad de Física, Pontificia Universidad Católica de Chile, Santiago, Chile. <sup>8</sup>Department of Astronomy and Joint Space-Science Institute, University of Maryland, College Park, MD, USA. <sup>9</sup>Núcleo de Astronomía de la Facultad de Ingeniería, Universidad Diego Portales, Santiago, Chile. <sup>10</sup>Kavli Institute for Astronomy and Astrophysics, Peking University, Beijing, China. <sup>11</sup>Chinese Academy of Sciences South America Center for Astronomy, Santiago, Chile. <sup>12</sup>Institute for Astronomy, University of Hawaii, Honolulu, HI, USA. \*e-mail: mike.koss@eurekasci.com



**Fig. 1** | **Example images of final-stage mergers. a–c**, Tricolour optical images in the *gri* band from the Sloan Digital Sky Survey or the Kitt Peak survey with 1″ angular resolution. The galaxies shown are 2MASX J01392400+2924067 (**a**), CGCG 341-006 (**b**) and MCG+02-21-013 (**c**). The images are 60 kpc × 60 kpc in size. Red squares indicate the

size of the zoomed-in adaptive optics image on the right. **d**-**f**, Corresponding near-infrared, K<sub>p</sub>-band (effective wavelength, 2.12  $\mu$ m) adaptive optics images of nuclear mergers taken with the Keck/ NIRC2 instrument. These images are 4 kpc × 4 kpc in size.

result from the emission from both nuclei<sup>14</sup>. In a sample of 60 doublepeaked sources observed with NIRC2<sup>15</sup>, only 4/60 (or 6.7%) were in major mergers with <3 kpc separations—a much smaller proportion than that seen in the obscured luminous black holes studied here. Some nuclear mergers have also been detected in the host galaxies<sup>16</sup> of luminous infrared galaxies with accreting black holes, which have very high star formation rates, probably associated with the merger. However, only one of the ten nuclear mergers in our hard-X-ray sample is associated with a luminous infrared galaxy (NGC 6240), and none show double-peaked [O III]  $\lambda$ 5,007 emission lines. This indicates that both of these diagnostics are incomplete indicators of nuclear mergers.

When considering the fractions of galaxies found at various merger stages, it is critical to consider the corresponding observability timescale, because the time spent at small separations is thought to be much shorter than that spent at larger separations. For instance, in a recent merger simulation study<sup>17</sup>, the time spent at separations R < 3 kpc could be more than five times shorter than the time spent at separations of 3–10 kpc (about 50 Myr versus 300 Myr). Thus, the excess fraction of nuclear mergers in obscured luminous black holes that we find in our data is surprising, and it probably reflects a strong link between such mergers and intense black-hole accretion. To compare our observations with theoretical results more directly, we use a suite of state-of-the-art high-resolution hydrodynamical galaxy merger simulations with

the galaxy stellar mass, black-hole mass and black-hole bolometric luminosity set up to reproduce the accreting black holes and their host galaxies observed in our study (GADGET-3 code<sup>18</sup>; see Methods). We also consider the simulated mergers at random orientations to the observer to account for the fact that some mergers would appear closer simply because of the projection effect (that is, their alignment with the observer's line of sight).

The simulations show that obscured luminous black-hole phases preferentially occur in the late stages of gas-rich ( $M_{gas}/M_* < 0.1$ ) major  $(M_1/M_2 < 5)$  mergers, where  $M_{gas}$  is the gas mass,  $M_*$  is the stellar mass and  $M_1$  ( $M_2$ ) denotes the galaxy with the larger (smaller) stellar mass. Consistent with our observations, late-stage mergers are less prevalent in lower-luminosity black holes and inactive galaxies (Fig. 2b). Finally, our simulations show that obscured luminous black holes, which occur in the post-merger phase (after the two galactic nuclei and black holes have merged), contribute as much to the growth of the obscured black hole as the entire merger phase (R < 30 kpc). We note that during the late stages of our simulated galaxy mergers, the black holes spend very little time in an unobscured luminous accretingblack-hole phase. These results are consistent with previous theoretical work<sup>19</sup> that showed that merger-triggered accreting black holes are preferentially more luminous and obscured than those growing by stochastic feeding via slower secular processes. This explains the lack



Projected separation

Fig. 2 | Fraction of close mergers. a, Fraction of mergers, determined using high-resolution images obtained with either Keck adaptive optics or the HST. The sample of high-luminosity obscured accreting black holes or AGN shows a strong excess of small-separation mergers (<3 kpc). Other types show no significant excess compared to inactive galaxies. Error bars correspond to 1 $\sigma$  confidence intervals. b, Results from a suite of gas-rich high-resolution hydrodynamical galaxy merger simulations for a range of viewing angles.  $\Delta t$  is the time spent at a separation range, and error bars represent the median absolute deviation. Our observed merger fractions are consistent with obscured and luminous accreting black holes occurring primarily in gas-rich major mergers.

of nuclear mergers in low-luminosity AGN seen in a previous largesample (>200) high-resolution study of AGN and normal galaxies using the  $HST^{20}$ . Moreover, simulations find that although global star formation is enhanced primarily in the early stages of the first merger passage, black-hole growth is minimal until the late merger stages<sup>21</sup>, when the galaxies pass within a few kiloparsecs of each other and cause tidal torques that increase nuclear gas inflows.

We simulated a set of mock HST images, targeting redshifted versions of our imaging datasets (see Methods) at the peak of black-hole growth at  $z \approx 1-2$ , and found that the HST would miss the majority of such systems (7/8) at merger separations below 3 kpc owing to insufficient spatial resolution and sensitivity, which is necessary to identify the nuclear mergers that we find in our low-redshift sample. The upcoming James Webb Space Telescope will provide substantial improvements in sensitivity. However, the resolution of such nuclear mergers requires the use of adaptive optics systems in the next generation of large-diameter ground-based telescopes (for example, the Thirty Meter Telescope, the European Extremely Large Telescope and the Giant Magellan Telescope). These will reach resolutions of 300 pc using adaptive optics at  $z \approx 1-2$ —scales that are consistent with the smallest-separation mergers identified in this study.

With the discovery of gravitational waves emitted from the merger of stellar-mass black holes, interest in understanding gravitational waves produced from the merger of supermassive black holes has increased considerably. The study of nuclear mergers is therefore critical for comparison with cosmological merger-rate models, because it can help constrain the timescales for supermassive-black-hole inspiral and the rate of such events, which are likely to be found with gravitational wave detectors, such as pulsar timing arrays<sup>22</sup> and the Laser Interferometer Space Antenna<sup>23</sup>. Predictions of the detection rates for these instruments are based on parameterizations of the merger rates and the supermassive-black hole-population<sup>24</sup>, but these are highly uncertain and vary by orders of magnitude<sup>25</sup>. Gravitational-wave observatories will also struggle with the localization of the sources, which is possible only with a resolution of the order of 10 square degrees<sup>26</sup>, thus requiring a better characterizations and their correlation with galaxy populations can provide crucial benchmarks for models of black-hole inspiral and the strength of gravitational-wave signals.

#### **Online content**

Any methods, additional references, Nature Research reporting summaries, source data, statements of data availability and associated accession codes are available at https://doi.org/10.1038/s41586-018-0652-7.

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and the reduction of the raw data. E.T. assisted in the initial observing runs. R.M., S.V. and D.B.S. aided in the initial proposal and scientific interpretations. B.T., L.F.S., A.W. and C.R. assisted in the scientific interpretations.

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#### Additional information

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### **METHODS**

**Data analysis and sample overview.** We selected our sources from the 70-month Swift/BAT catalogue, which contains 1,171 sources, 836 of which are accreting black holes or AGN. We cross-matched this sample with the Roma Blazar Catalog<sup>27</sup> to avoid beamed and radio-bright black holes, which have been extensively studied in past high-resolution studies. A full list of the observational aspects of all galaxies examined is provided in a machine-readable table in Supplementary Information.

We used the NIRC2 imager and an adaptive optics (AO) system to observe 96 low-redshift (0.01 < z < 0.075) Swift/BAT-detected black holes with suitable tip-tilt stars. The images were taken over nine nights spread between 2012 and 2014. For bright unobscured AGN, the nucleus was used as the point source for the tip-tilt correction. Images were taken in the K<sub>p</sub> band (effective wavelength,  $\lambda_{\rm eff} \simeq 2.12 \ {\rm \mu m}$ ) and, when possible in good seeing conditions, in the J and H bands (1.25 and 1.63  $\mu$ m, respectively). We used a wide-field camera with a resolution of 40 mas pixel<sup>-1</sup> and a 40″ field of view. We used a three-point dither pattern that avoids a known artefact in the lower left part of the field. For calibration purposes we took dark- and flat-field images for each night of observation.

The data were reduced using a custom JLU python code for NIRC2 reduction. The code was modified to ensure that extended galaxy emission features were not subtracted from the background using SExtractor<sup>28</sup>. The images were combined by weighting by the Strehl ratio of each image.

To increase our sample size we also added 64 BAT-detected AGN that were observed with the HST NICMOS or WFC3 cameras. The images were taken with the F105W (1.05  $\mu$ m) or F160W (1.60  $\mu$ m) filters, with the majority (62/64, 97%) in the F160W band. Individual frames were co-added, corrected for cosmic rays and distortion, and registered using the default values in AstroDrizzle. For galaxies with z < 0.01, we used the mean value of redshift-independent distance measurements from the NASA Extragalactic Database, when available. We otherwise adopted a cosmology of  $\Omega_{\rm m} = 0.3$ ,  $\Omega_{\Lambda} = 0.7$  and  $H_0 = 70$  km s<sup>-1</sup> Mpc<sup>-1</sup> ( $\Omega_{\rm m}$ , matter density;  $\Omega_{\Lambda}$ , dark-energy density;  $H_0$ , Hubble constant) for all distances computed.

Because most of the possible galaxy counterparts detected in the images do not have spectroscopic data available, we applied two methods to deal with possible stellar contamination from foreground stars. Nearby foreground stars and galaxies were identified using segmentation maps produced by SExtractor. We first applied the stellar classification technique provided by this tool, which uses a neural network as a classifier to assign the values 0 and 1 to non-stellar and stellar objects, respectively. To separate between galaxies and stars, every object with a stellarity index below 0.5 was considered as a secondary galaxy.

Because some of the AO observations relied on tip-tilt stars, the AO images typically had more foreground stars than the HST ones, which could lead to possible contamination. We therefore used a second technique to measure the number of stars in the entire field of view that are brighter than our second nearby source, divided by the total area searched in the image. This number was then compared to the search area used to find the nearest companion to provide an estimate for stellar contamination. We excluded counterparts with contamination likelihood greater than 10%, all of which also had a stellarity index below 0.5 and had already been excluded using the aforementioned SExtractor stellar classification technique.

All galaxies classified as extended, with low stellar contamination and within 2.5 mag ( $\sim$ 1/10) of the primary AGN or inactive galactic nucleus were classified as counterparts (see Extended Data Figs. 1, 2).

Inactive-galaxy control sample. We created a large control sample of inactive galaxies by aggregating over 20 years of past HST NICMOS and WFC3 surveys conducted using the F160W filter. For more massive galaxies, which were not well sampled in previous NICMOS surveys, we cross-matched all high-resolution HST near-infrared observations with the NASA-Sloan Atlas catalogue<sup>29</sup> which includes about 42,000 nearby (z < 0.05) massive ( $M_* > 10^{10} M_{\odot}$ , where  $M_{\odot}$  is the mass of the Sun) inactive galaxies within the footprint of the Sloan Digital Sky Survey. These were typically taken with the HST WFC3 near-infrared camera owing to the small field of view of NICMOS. We also cross-matched all nearby galaxies (z < 0.05) from the RC3 catalogue<sup>30</sup>, which covers the entire sky, with the list of HST near-infrared observations. Finally, we cross-matched Version 2.1 of the Hubble Source Catalog, which includes all WFC3 near-infrared observations, with all nearby galaxies (z < 0.05) from the SIMBAD astronomical database. To ensure that our sample included only inactive galaxies, we excluded the 168,941 AGN in the 13th edition of the Véron-Cetty & Véron catalogue of quasars and active nuclei<sup>31</sup>. We also excluded any galaxies found in clusters, because of their very different environments and generally much higher stellar masses. Our final control sample included 385 inactive galaxies, obtained from 37 different HST programmes.

When possible, we used the Hubble Legacy Archive to download post-processed images. When these were not available, individual frames were co-added and corrected for cosmic rays and distortion, in the same way as the HST observations of the BAT AGN in our main sample. The NICMOS images were examined to ensure that the smaller field of view covered the nuclear regions without any size-

able artefacts after processing. As with our AGN sample, we used the average of redshift-independent distance measurements from NASA Extragalactic Database, when possible, and applied the same method to detect galaxy counterparts.

Control sample design. Although our matching procedure resulted in 385 inactive galaxies observed with the HST, many of the relevant HST programmes focused on nearby (<100 Mpc) inactive galaxies and with lower stellar masses than our AGN sample. This matching procedure for the control sample is crucial, as many studies have found that the merger activity and fraction depend on the stellar mass<sup>32,33</sup>. We therefore measured H-band luminosities in both the inactive-galaxy and BAT AGN samples, as they are an excellent proxy for the stellar mass, with a small scatter of only<sup>34</sup> 0.2 dex. For photometry, we used the H-band elliptical aperture magnitudes from the 2MASS all-sky survey.

The merger fraction also depends on the star formation rate (SFR). We therefore used the IRAS 60- $\mu m$  luminosity as a proxy for the SFR. When the IRAS 60  $\mu m$  luminosity was measured as an upper limit, we used the 70- $\mu m$  luminosity from the Herschel Photodetector Array Camera and Spectrometer or the Spitzer Multiband Imaging Photometer. We assumed a conversion factor of 1.15 between the 70- $\mu m$  luminosity and the 60- $\mu m$  luminosity based on the average number of sources in the sample with both measurements.

The inactive-galaxy sample was matched in stellar mass and SFR by excluding 117 low-stellar-mass galaxies ( $\langle \log(L_{\rm H}/L_{\odot}) \rangle < 9.7; L_{\rm H}$ , H-band luminosity;  $L_{\odot}$ , luminosity of the Sun) and 95 low-SFR galaxies ( $\log(\nu L \nu)_{60\mu m} < 43; \nu L \nu$  is the luminosity expressed in units of erg s<sup>-1</sup> and  $L \nu$  is the monochromatic specific luminosity per unit of frequency,  $\nu$ ). We note that although these 210 inactive galaxies were excluded from the analysis, we did not find any nuclear (R < 3 kpc) mergers among them.

The sample of lower-luminosity black holes has a lower average H-band luminosity ( $\langle \log(L_{\rm H}/L_{\odot}) \rangle = 9.9$ ) than the inactive control sample ( $\langle \log(L_{\rm H}/L_{\odot}) \rangle = 10.1$ ) or the sample of obscured luminous black holes ( $\langle \log(L_{\rm H}/L_{\odot}) \rangle = 10.1$ ). The sample of unobscured luminous black holes has slightly higher luminosity ( $\langle \log(L_{\rm H}/L_{\odot}) \rangle = 10.2$ ) than the inactive control sample; however, for unobscured black holes, the light can contribute the majority of the emission even in the near-infrared bands<sup>35</sup>, so the H-band luminosity may overestimate the stellar mass. As for the SFR, we find  $\langle \log(\nu L \nu)_{60\mu m} \rangle = 44.1$  for the inactive galaxies, and the same value is obtained for the obscured luminous black holes and for all luminous black holes. This value is higher than that of the low-luminosity black holes ( $\langle \log(\nu L \nu)_{60\mu m} \rangle = 43.6$ ).

A summary of the different programmes used in the HST control sample, based on their titles and descriptions, is provided in Extended Data Fig. 5 and in a machine-readable table in Supplementary Information. Most of the control sample was obtained from studies of star formation in luminous infrared galaxies or large samples of nearby galaxies (70%, 122/175). Nearly all of the inactive-galaxy images from the HST were taken with the F160W filter (172/175, 98%), with the remaining images taken with the F110W filter. The average image resolution typically corresponded to a full-width at half-maximum (FWHM) of 0.19" for the inactive-galaxy sample, slightly lower than that of the sample of accreting black holes (FWHM = 0.12"). However, because the nearby inactive galaxies were typically at lower redshift ( $\langle z \rangle = 0.021$ ) than the black-hole sample ( $\langle z \rangle = 0.034$ ), the physical scales probed for inactive galaxies ( $\langle FWHM \rangle = 79 \text{ pc}$ ) were actually smaller than those for the black-hole sample ( $\langle FWHM \rangle = 97 \text{ pc}$ ), particularly for the obscured and unobscured luminous black holes ( $\langle FWHM \rangle = 134$  pc). The average Strehl ratio of the black-hole sample was 0.45, mainly owing to the low Strehl ratios in the AO sample, whereas the inactive galaxies selected solely from HST data had a higher Strehl ratio of 0.9. However, because our study focuses on identifying secondary nuclei that are within 2.5 mag of the bright black holes at the galaxy centres, the reduced sensitivity to very faint objects with AO does not affect our analysis or conclusions.

We also tested whether the parent sample of inactive galaxies from which the matched HST sample was drawn was itself representative, in a statistical sense, of the nearby-galaxy population. For the sample of nearby galaxies we used data from the Sloan Digital Sky Survey Data Release 7<sup>36</sup>. We used spectroscopic redshifts from the New York Value-Added Galaxy Catalog37 to limit the sample to the 0.01 < z < 0.05 range to match the control sample. We extracted stellar masses and SFR measurements from the Max Planck Institute for Astrophysics-John Hopkins University (MPA JHU)<sup>38,39</sup> catalogue, which contains data based on photometry and emission-line modelling. We only used sources that are flagged as galaxies in the MPA JHU catalogue (about 90,000). To convert the 60-µm emission of our HST sample to SFR we assumed standard galaxy templates<sup>40</sup>. We found that the HST sample was representative of the nearby-galaxy population in terms of SFR, except for an excess of high-stellar-mass, high-SFR galaxies related to the large programmes that study luminous infrared galaxies (which are usually found among such high-stellar-mass, high-SFR galaxies in the nearby Universe)<sup>41</sup>. As galaxy mergers are thought to be correlated with increased star formation, the lack of nuclear mergers in these inactive galaxies is very surprising and strengthens

our findings of an excess of nuclear mergers among the obscured luminous AGN population compared to the control sample.

Finally, when comparing merger fractions between samples we used the binomial proportion confidence intervals, which are typically used to compare the fractions of different samples. The normal approximation interval is the simplest formula; however, for situations with a fraction very close to zero or small numbers, this formula is unreliable<sup>42</sup> and may considerably underestimate the uncertainties. We therefore used the Jeffreys confidence interval to provide more reliable error estimates and Fisher's exact test to calculate the *P* value for the difference between the two sample fractions.

Simulations of galaxies at high redshift. We simulate the systematics of studying AGN at the peak of black-hole growth at higher redshift ( $z \approx 1$ ) by artificially redshifting our imaging data to mimic the quality of the HST data at this redshift, following ref. <sup>43</sup>. Because of the low redshift of our samples (z = 0.04), the physical resolution of our ground-based Pan-STARRS images is equal or superior to the HST data for a  $z \approx 1$  sample. The images also have complete wavelength coverage in the *g*, *r*, *i*, *z* and *y* filters ( $\lambda_{\text{eff}}$  = 4,776 Å, 6,130 Å, 7,485 Å, 8,658 Å and 9,603 Å, respectively), and thus we can properly consider the rest-frame wavelengths and spectral energy distributions of the artificially redshifted datasets. We assume that the redshifted galaxies are located at z = 1 and are observed in the WFC3 F160W band, achieving the same depth as the CANDELS and GOODS-S surveys<sup>44</sup>. The FERENGI algorithm<sup>45</sup> is then used to determine the best-fit rest-frame spectral energy distribution templates using the kcorrect routine and to calculate the expected flux in the WFC3 F160W band. Finally, the output spatial flux distribution is convolved with the point-spread function of the WFC3 F160W band, and a noise frame is added using a blank region extracted from the CANDELS and GOODS-S surveys.

Our simulated observations (Extended Data Fig. 7) show that the HST can resolve only one of these late-stage mergers with tight double nuclei. This is not surprising, given the stark difference in physical scales between  $z \approx 0.04$  and  $z \approx 1$  ( $D_{A(z} = _{0.04})/D_{A(z=1)} \approx 10$ , where  $D_A$  is the angular-size distance at a given redshift) and the detection being based on the visibility of tight double nuclei. Although our asymmetric/disturbed structures at the outskirts of the galaxies may still be visible at  $z \approx 1$  in a couple of cases with a considerably increased level of brightness owing to the increase in star formation activity at high redshift, the interpretation of these structures can be ambiguous, given that the morphology of high-redshift star-forming galaxies is often intrinsically less regular than nearby (<250 Mpc) galaxies.

Simulations of merging galaxies. We use high-resolution galaxy merger simulations performed with GADGET, a smoothed-particle hydrodynamics and N-body code that conserves energy and entropy and uses sub-resolution physical models for radiative heating and cooling, star formation, supernova feedback, metal enrichment and a multi-phase interstellar medium<sup>46</sup>. Black holes are modelled as gravitational 'sink' particles that accrete gas via an Eddington-limited, Bondi–Hoyle-like prescription. Thermal AGN feedback is included by coupling 5% of the accretion luminosity ( $L_{\rm bol} = \varepsilon_{\rm rad}\dot{M}c^2$ ) to the surrounding gas as thermal energy, with a variable radiative efficiency  $\varepsilon_{\odot rad}$  at low accretion rates<sup>47</sup>  $\dot{M}$  (*c* is the speed of light in vacuum).

Our simulation suite includes seven major-merger simulations with galaxy mass ratios of 0.5 or 1. Each of the galaxies have a dark-matter halo, a disk of gas and stars (with initial gas fractions of 0.1–0.3), a stellar bulge-to-total ratio of 0 or 0.2, and a central black hole with initial mass scaled to the stellar bulge<sup>48</sup>. The fiducial baryonic gravitational softening length and mass resolution are  $\varepsilon_{\text{ograv}} = 48$  pc and  $m_b = 2.8 \times 10^5 M_{\odot}$ , respectively. We also run two simulations at ten-times-higher mass resolution to ensure that our results are not resolution-dependent. We stress that these details are not crucial for the purpose of the present work, where the simulations are used to assess the relative timescales in which merging galactic nuclei and black holes can be seen at various separations.

We also conduct radiative-transfer simulations in post-processing with the three-dimensional, polychromatic, dust radiative-transfer code SUNRISE<sup>49,50</sup>. This publicly available code has been used extensively with GADGET-3 to model a wide range of isolated and merging galaxy populations<sup>51–53</sup>. Stellar emission is calculated from age- and metallicity-dependent STARBURST99 spectral energy distributions for each stellar particle<sup>54</sup>, and emission from H II regions (including dusty photo-dissociation regions) around young stars is calculated using the MAPPINGS III models<sup>55</sup>. We implement an AGN spectral energy distribution based on the black-hole accretion rate, and our fiducial model is based on empirically derived, luminosity-dependent templates<sup>18</sup>.

After the dust distribution is calculated with SUNRISE from the gas-phase metal density distribution, we use SUNRISE to perform Monte Carlo radiative transfer through the dust grid, by computing the energy absorption (including dust self-absorption) and thermal re-emission to produce the emergent, spatially resolved ultraviolet-to-infrared spectral energy distributions. For each merger simulation, we run SUNRISE on snapshots at 10-Myr intervals during the merger phase (R < 10-30 kpc) and post-merger phases, and at 100-Myr intervals during the early-merger phase, for seven isotropically distributed viewing angles, and the result is converted to the merger fraction that would be seen if observed from a single direction.

**Code availability.** The custom NIRC2 reduction software is available at https://github.com/jluastro/JLU-python-code/tree/master/jlu.

#### Data availability

The reduced imaging datasets from the HST are available from the Hubble Legacy Archive. The raw imaging datasets from the near-infrared adaptive optics programmes are available from the Keck Observatory Archive. Other reduced datasets generated or analysed in this study are available from the corresponding author on reasonable request.

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**Extended Data Fig. 1** | **Other close mergers.** a–d, Tricolour optical images in the *gri* band from the Sloan Digital Sky Survey or the Kitt Peak survey with about 1″ angular resolution. The galaxies shown are NGC 6240 (a), 2MASX J00253292+6821442 (b), ESO 509-G027 (c) and

Mrk 975 (**d**) from the AGN sample. The images are 60 kpc  $\times$  60 kpc in size. Red squares indicate the size of the zoomed-in AO image on the right. **e**-**h**, High-spatial-resolution images of the nuclear mergers shown in **a**-**d**, 4 kpc  $\times$  4 kpc in size.



**Extended Data Fig. 2** | **Other close mergers. a**-**c**, Tricolour optical images in the *gri* band from the Sloan Digital Sky Survey or the Kitt Peak survey with about 1″ angular resolution. The galaxies shown are 2MASX J16311554+2352577 (**a**) and 2MASX J08434495+3549421 (**b**) from the AGN sample and 2MASX J08370182-4954302 (**c**) from the

inactive-galaxy sample. **d**, Lower-quality red Digitized Sky Survey image of UGC02369 NED01, for which no higher-quality imaging exists. The images in **a**-**d** are 60 kpc × 60 kpc in size. Red squares indicate the size of the zoomed-in AO image on the right. **e**-**h**, High-spatial-resolution near-infrared images of the nuclear mergers shown in **a**-**d**, 4 kpc × 4 kpc in size.

## LETTER RESEARCH



**Extended Data Fig. 3** | **Inactive-galaxy control sample. a-d**, Tricolour optical images in the *gri* band from Pan-STARRS imaging with about1" angular resolution. The images show inactive galaxies in the control sample that were matched in stellar mass and SFR to the AGN: NGC 214 (a), NGC 151 (b), NGC 2998 (c) and NGC 6504 (d). The images are

60 kpc × 60 kpc in size. Red squares indicate the size of the zoomed-in AO image on the right. **e**–**h**, High-spatial-resolution near-infrared images of the nuclear mergers shown in **a**–**d**, 4 kpc × 4 kpc in size. Some white lines are present in NICMOS and Pan-STARRS imaging owing to bad pixels with very low or zero response or with very high or erratic dark current.

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Extended Data Fig. 4 | Stellar mass, star formation rate and resolution of AGN and inactive galaxies. a, H-band luminosity of the different AGN and inactive galaxies. Inactive galaxies with considerably lower stellar masses than the AGN samples were excluded ( $\langle \log(L_{\rm H}/L_{\odot}) \rangle < 9.7$ ). b, 60-µm luminosity of the different AGN and inactive galaxies. Inactive galaxies with lower SFR were also excluded from the comparison ( $\langle \log(\nu L_{\nu})_{60\mu\rm{m}} \rangle = 43.6$ ). For observations in which a galaxy was not

detected, we show a  $3\sigma$  upper limit of the SFR, indicated by a downward arrow. c, Comparison of the maximum spatial resolution (in parsecs) of the different observations. The inactive-galaxy sample typically has higher physical spatial resolutions than the AGN samples. Many galaxies observed fall along a line because of the constant physical resolution of the HST.



Extended Data Fig. 5 | Summary of programme types included in the HST control sample. The majority of archival control sample observations are of high-SFR luminous infrared galaxies ('LIRG') or from studies of volume-limited samples of nearby galaxies ('Nearby Galaxy'). The remaining samples originate from observations of spiral galaxies ('Spiral'), galaxies in the merger sequence or late-stage mergers ('Merger'), galaxies with large or small black holes ('Black Hole') and elliptical galaxies ('Elliptical'). Finally, some nearby galaxies were observed serendipitously in observations of other sources or survey fields ('Serendip').



**Extended Data Fig. 6 | SFR and stellar mass.** Measurements of SFR and stellar mass for the BAT AGN sample (purple circles) and the HST-matched archival control sample of inactive galaxies (green diamonds). The full distribution of inactive galaxies from the Sloan Digital Sky Survey

(SDSS) is shown with grey shading and the full distribution of the HST archive with blue contours. The HST archival sample has an excess of high-stellar-mass, high-SFR inactive galaxies because of the large number of observations of luminous infrared galaxies.



Extended Data Fig. 7 | Simulated HST images of nuclear mergers at high redshift. Simulated images of three nuclear mergers (2MASXJ 01392400+2924067, CGCG 341-006, MCG+02-21-013) observed at z = 1 with the HST F160W filter as part of the CANDELS survey (60 mas pixel<sup>-1</sup>) using optical imaging and FERENGI software. The

HST would be unable to detect these final stage mergers. All simulated images are displayed in the arcsinh scale in coupled-channel-device counts, as if observed in the HST F160W filter as part of the CANDELS survey.

Extended Data Table 1 | Galaxies with companions within 10 kpc

Galaxy	Class	d('')	d(kpc)	Stell.	Stellar Contam	Diff mag
MCG+02-21-013	LumObs	0.4	0.3	0	( /0)	1.4
NGC 6240	LumObs	1.8	0.9	0		0.8
2MASXJ08370182-4954302	Inactive	2.1	1.1			1
2MASX J00253292+6821442	LowLum	4.5	1.1	0		0.3
CGCG341-006	LumObs	1.3	1.3	0		0.6
UGC02369NED01	Inactive	3	1.9			0.5
2MASXJ01392400+2924067	LumObs	1.2	1.9	0		1.1
Mrk975	LumUnob	2.5	2.5	0	3.2	0.9
2MASXJ16311554+2352577	LumObs	2.3	2.8	0		0.8
2MASXJ08434495+3549421	LumObs	2.7	2.9	0		2.4
ESO099-G004	Inactive	5.2	3.1			1.6
MCG+12-02-001	Inactive	9.6	3.1			0.4
NGC985	LumUnob	3.7	3.3	0		2.1
IRAS23436+5257	Inactive	5.3	3.6			0.4
MCG-02-33-098	Inactive	11.4	3.6			0.1
Mrk739E	LowLum	6.1	3.8	0		0.3
NGC6090NED02	Inactive	6.6	3.9			1.3
NGC3588NED01	LowLum	7.6	4.1	0		1.1
Mrk463	LumObs	4.8	5	0		1.4
2MASXJ06094582-2140234	Inactive	8.1	6.1			1.2
Mrk423	LowLum	9.1	6.1	0		1.7
2MASXJ05442257+5907361	LumObs	4.8	6.6	0		2.2
IRAS21101+5810	Inactive	9.4	7.3			0.8
IIZw096NED02	Inactive	10.2	7.4			0.5
IRASF03359+1523	Inactive	10.8	7.7			0.2
NGC7212NED02	LowLum	14.3	7.9	0		0.9
Was49b	LumObs	6.7	8.7	0		0.7
NGC2672	Inactive	28.2	9.2	0.11		1.2
UGC04881	Inactive	11.3	9			0.3
2MASXJ17085915+2153082	LumUnob	6.7	9.8	0		1.3

The table lists the sources found to have counterparts within 10 kpc. Obscured and unobscured AGN are separated using the presence of broad H $_{\beta}$  lines in their optical spectra, and the separation between low- and high-luminosity AGN (below or above  $L_{bol} = 2 \times 10^{44}$  erg s<sup>-1</sup>, respectively) is based on their X-ray emission ('LowLum', low-luminosity AGN; 'LumUnob', luminous unobscured AGN; 'LumUnob', luminous obscured AGN), 'LumUnob', luminous obscured AGN, 'LumOnb', luminous